



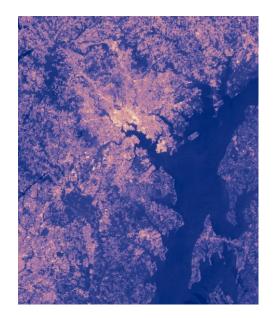
Satellite Remote Sensing for Measuring Urban Heat Islands and Constructing Heat Vulnerability Indices

Part 3: Integrating Socioeconomic Data with Satellite Imagery for Constructing Heat Vulnerability Indices (Session 2)

Kathryn Conlon, PhD, MPH & Evan Mallen, PhD, MUP – August 9, 2022

#### **Training Outline**

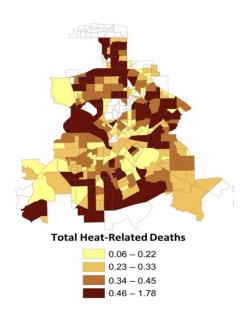
#### 2 August 2022



Credit: NASA

Land Surface Temperature-based Urban Heat Island Mapping

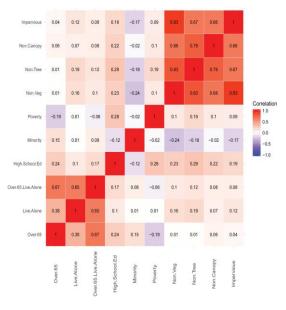
#### 4 August 2022



Credit: Mallen et al., 2019

Integrating
Socioeconomic Data
with Satellite Imagery
for Constructing Heat
Vulnerability Indices Session 1

#### 9 August 2022



Credit: Conlon et al., 2020

Integrating
Socioeconomic Data
with Satellite Imagery
for Constructing Heat
Vulnerability Indices Session 2

#### 11 August 2022



Credit: CIESIN

Using High-Resolution,
Satellite Derived HotHumid Heat Estimates
and Gridded Population
Data to Map Extreme
Heat Exposure Worldwide



Course Structure and Materials

- Webinar recordings, presentations, and the homework assignment can be accessed from the training page:
  - https://appliedsciences.nasa.gov/joinmission/training/english/arset-satelliteremote-sensing-measuring-urban-heatislands-and





#### **Homework and Certificate**

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- Homework Assignment:
  - There will be one homework assignment for this webinar series.
  - Answers must be submitted via instructions found on the training page.
  - Due date: August 25, 2022
- A certificate of completion will be awarded to those who:
  - Attend all live webinars
  - Complete the homework assignment by the deadline
  - You will receive a certificate approximately two months after the completion of the course from <u>marines.martins@ssaihq.com</u>

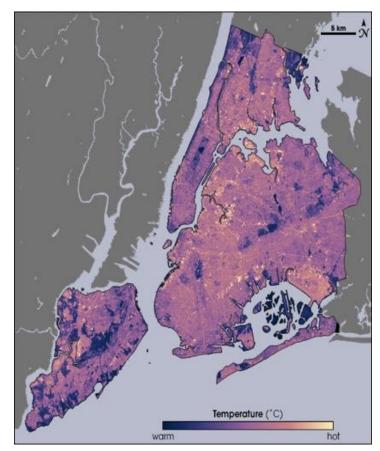




#### **Learning Objectives**

After participating in today's training, attendees will be able to:

- Identify data sources for creating heat vulnerability indices (HVI)
- Give examples of common methods used to create HVI
- Construct HVI for your own area of interest



Credit: NASA Earth Observatory





Constructing Heat Vulnerability Indices

#### **HVI** Construction



Important! Input variables:
- Unidirectional
- Confer vulnerability

#### **Common HVI Methods**

Complexity

Principal Components Analysis Weighted Additive Overlay Unweighted Additive Overlay Individual Indicators



Remember: An increase in your indicators should lead to an increase in vulnerability



#### **Common Vulnerability Indicators**



#### Exposure



Sensitivity



Adaptive Capacity



**Vulnerability** 

Hot/heatwave days Consecutive hot days Min/Mean/Max temp.

Land surface temp. Impervious surfaces

Vegetation Urban density Land cover Land use

Homes w/o AC
Population density

Older adults

Infants, young age Sex

**Diabetes** 

Cardiopulmonary Renal Respiratory Obesity Air conditioning access
Living alone

Income / wealth

Rental / homeowner

Unhoused

Education Ethnicity

Language

Foreign-born

Cognitive impairment

Mobility / Transportation



#### **Common Vulnerability Indicators**



#### Exposure



Sensitivity



Adaptive Capacity



Vulnerability

Hot/heatwave days Consecutive hot days Min/Mean/Max temp.

#### Land surface temp. Impervious surfaces Vegetation

Urban density Land cover Land use

#### Homes w/o AC

Population density

#### Older adults

Infants, young age Sex

#### **Diabetes**

Cardiopulmonary Renal Respiratory Obesity Air conditioning access

### Living alone Income / wealth

Rental / homeowner Unhoused

### Education Ethnicity

Language
Foreign-born
Cognitive impairment
Mobility / Transportation



#### Case Study: Detroit, Michigan, USA

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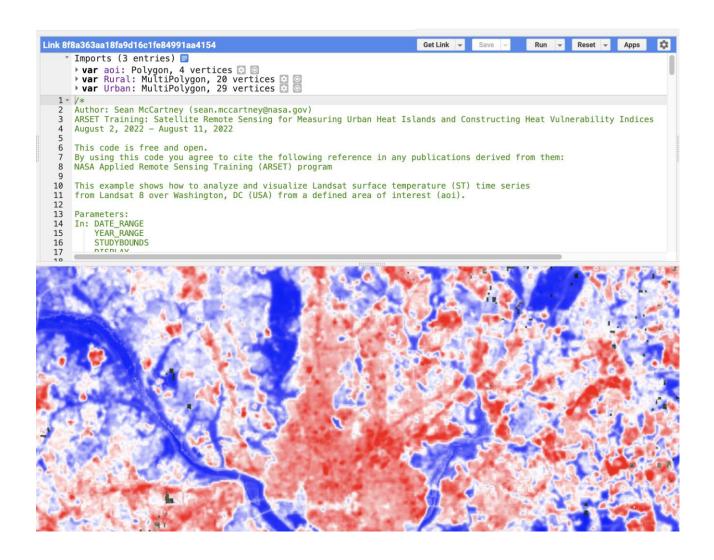
- Cold winters
- Warm, humid summers
- Variation in green space
- Low air conditioning prevalence
- High sensitivity among residents to extreme heat





For deriving areas of exposure to land surface temperature in Google Earth Engine using Landsat data, refer to Part 1 of the webinar series: Land Surface Temperature-Based Surface Urban Heat Island Mapping:

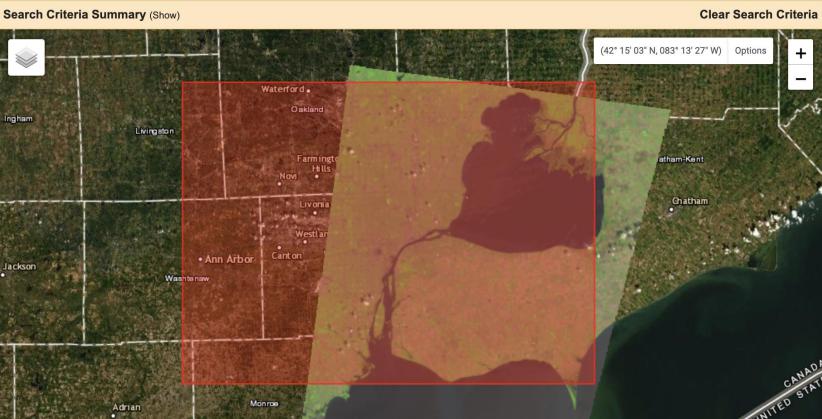
https://appliedsciences.nasa.gov/ join-mission/training/english/arsetsatellite-remote-sensingmeasuring-urban-heat-islands-and



#### Earth Explorer – Land Surface Temperature

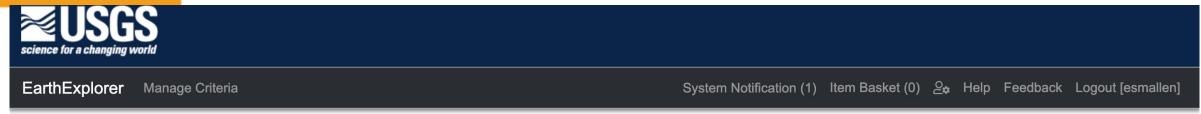


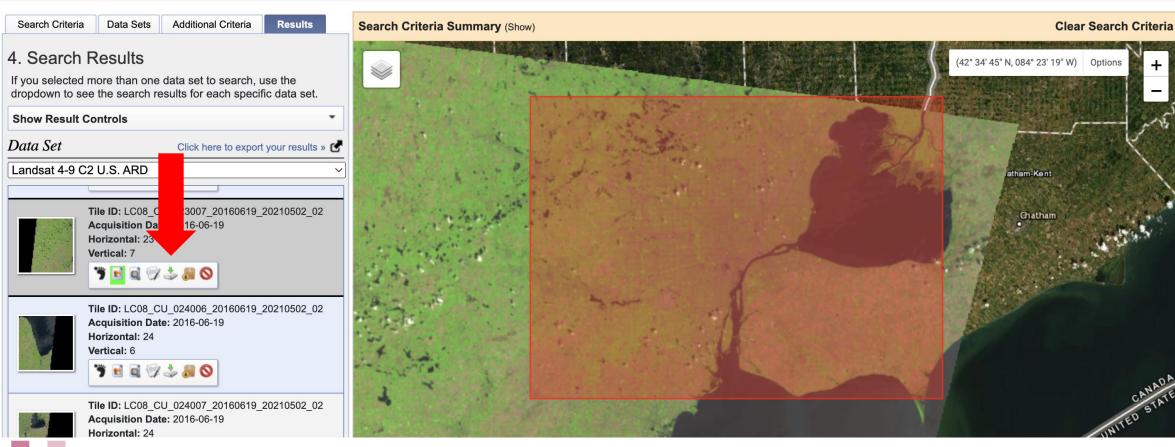






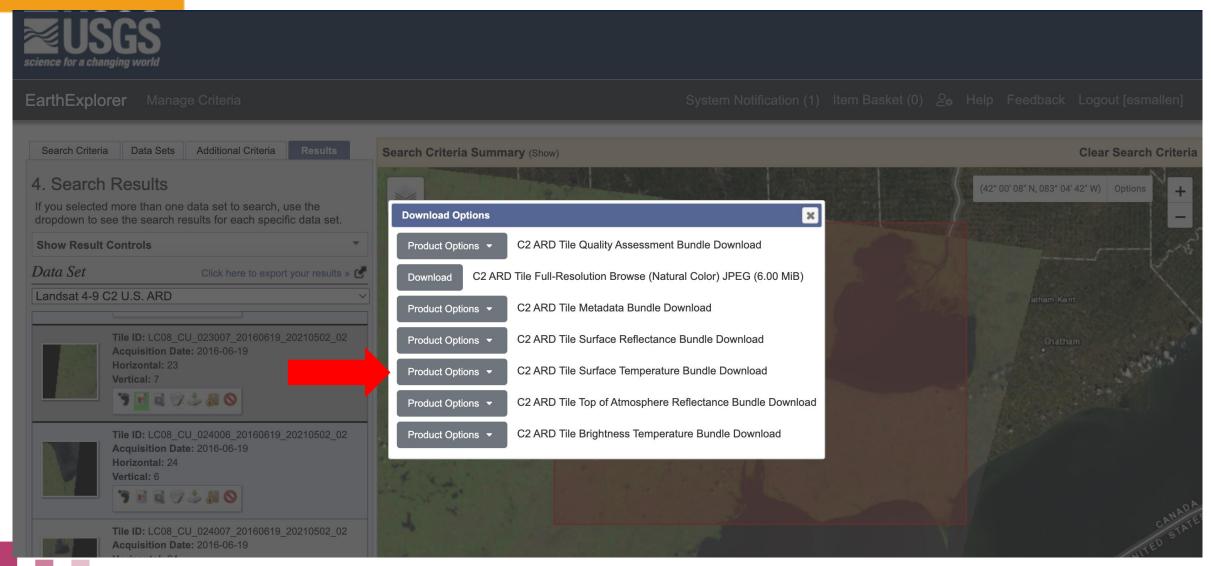
#### Earth Explorer – Land Surface Temperature





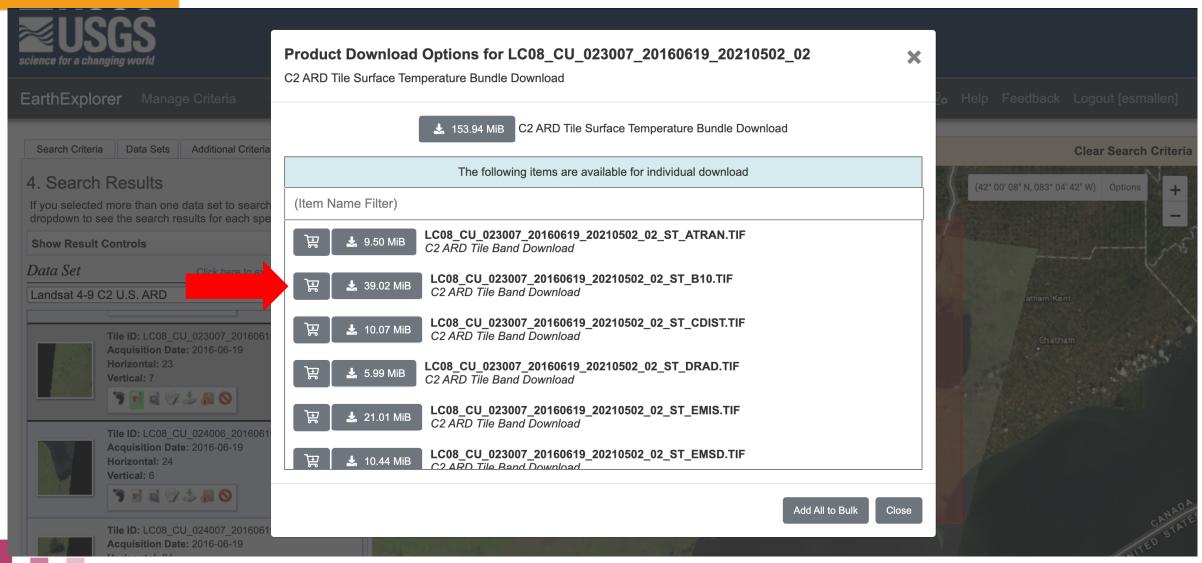


#### Earth Explorer – Land Surface Temperature





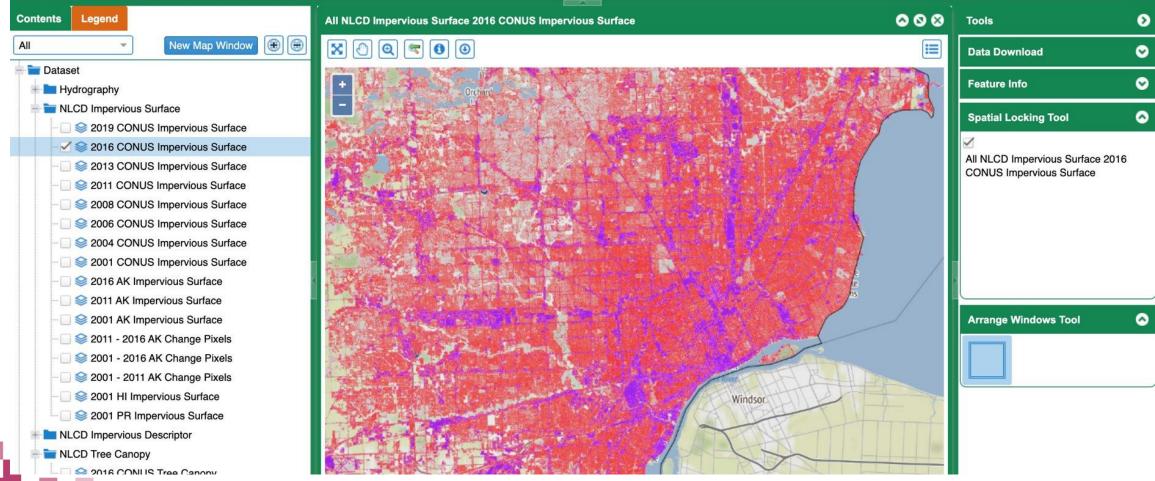
#### Earth Explorer – Land Surface Temperature





#### National Land Cover Database – Impervious





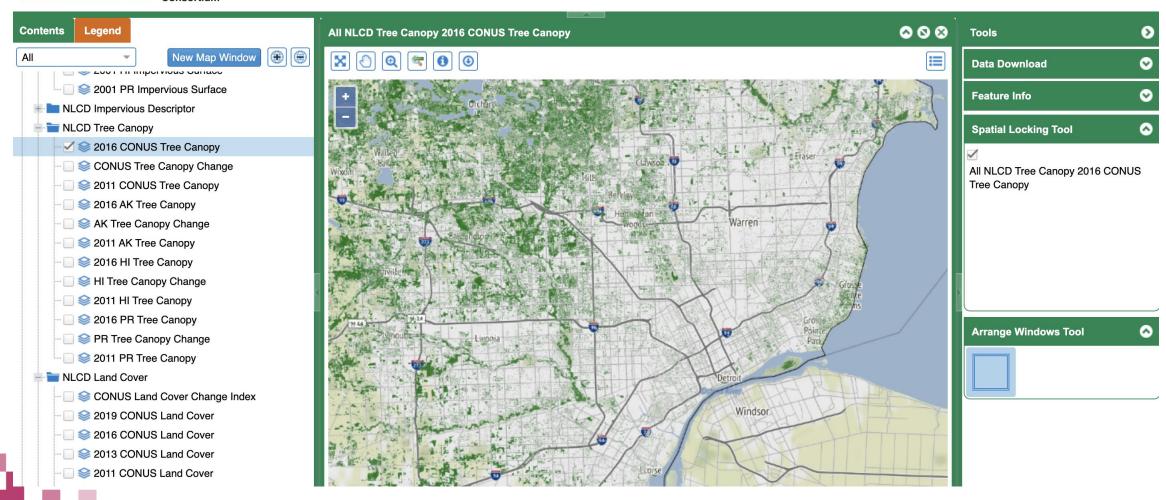
Credit: MRLC



#### National Land Cover Database – Tree Canopy



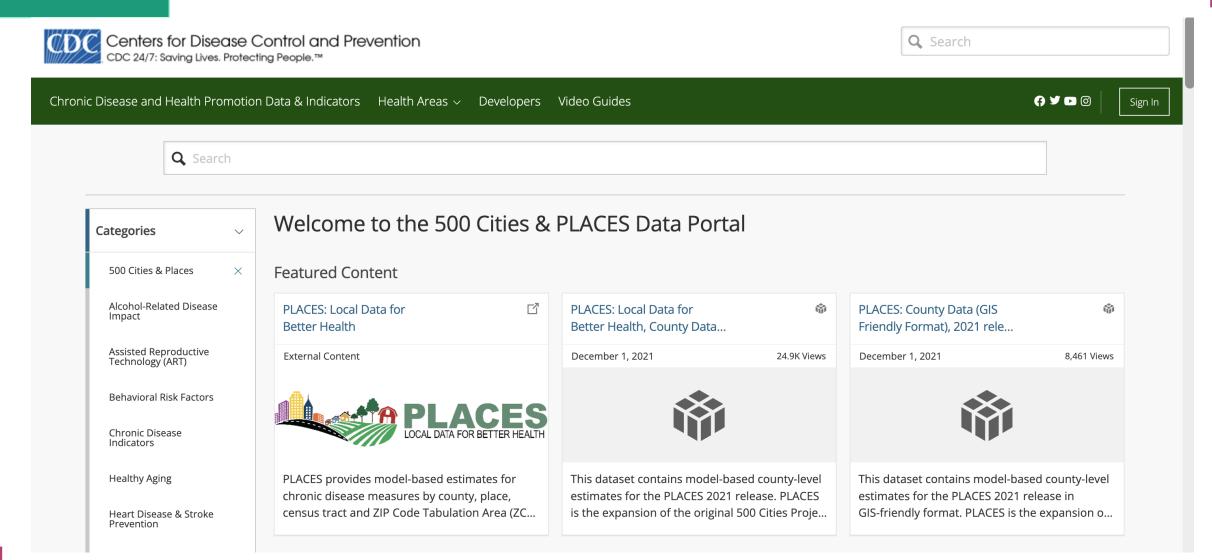
Celebrating 20+ years of Partnership
Multi-Resolution Land Characteristics
Consortium



Credit: MRLC



#### Sensitivity CDC 500 Cities – Disease Burden



Credit: CDC





### Adaptive Capacity

#### **National Census**





Search



Tables

Maps

Pages

Microdata





Explore the thousands of tables we have. We are adding new tables every week.

**Explore Tables** 



Don't forget to include all geographic identifiers

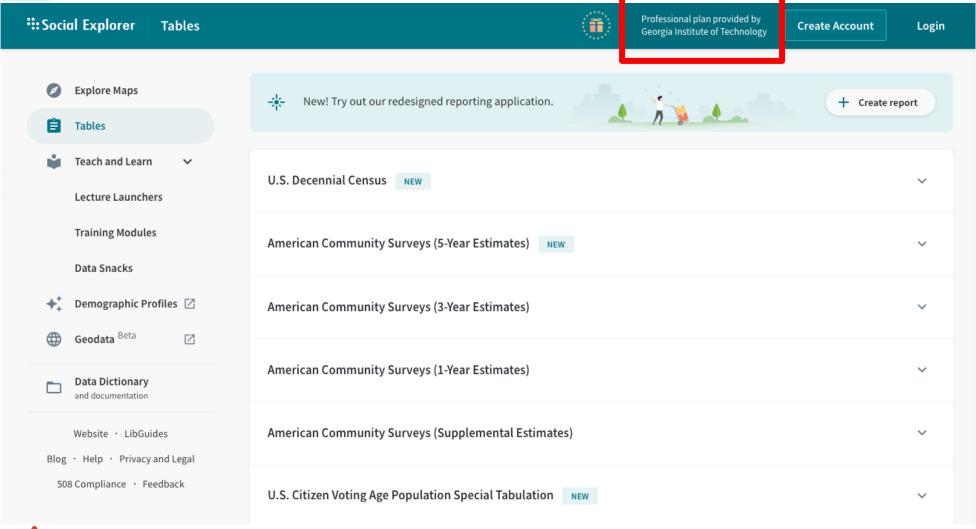
Credit: <u>U.S. Census</u>



### Sensitivity -



#### **USA: Social Explorer**





Don't forget to include all geographic identifiers



Credit: Social Explorer

#### **HVI Mapping: Finding Shapefiles**



All legal boundaries and names are as of January 1, 2021. Released October 7, 2021.

User note on Congressional and State Legislative Districts in Geographic Products.

https://www.census.gov/geographies/mapping-files/time-series/geo/tiger-line-file.html



US Census geographies were updated with the 2020 decennial census. Make sure your data and census shapefile dates match! Example: Disease prevalence data from 2016 should use 2010 census geographies.



Build Your HVI Dataset

#### **Spatial Components in GIS**



There are a variety of tools for processing and mapping HVIs, with more free and open-source options emerging.











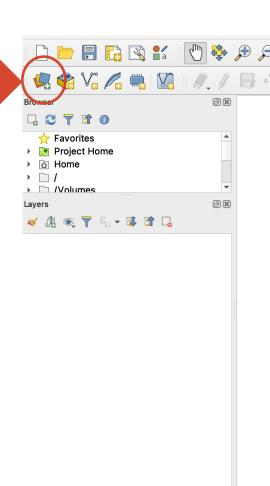
#### Processing Spatial Components – Shapefiles



#### Example:

QGIS (v3.8)

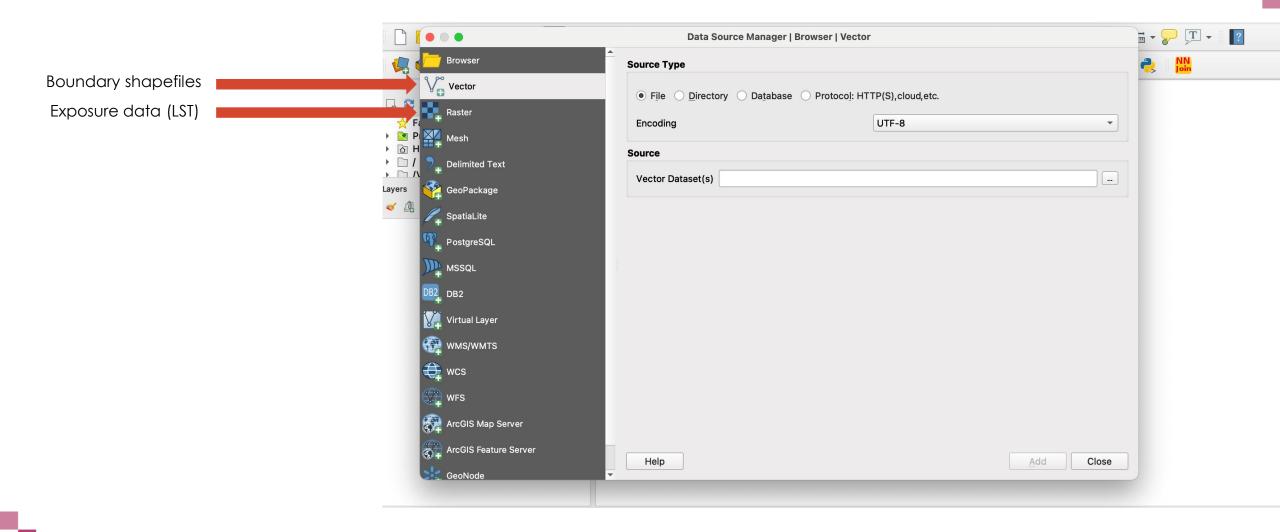
Add your data





### Processing Spatial Components – Shapefiles







#### **Joining Your Data**

**FIPS HVI Indicator** → QGIS

You can begin to consolidate your data in QGIS now to prepare for your HVI processing.

First, you will need a unique identifier (key) to join your data.

1111 01				
OBJECT ID#	Landuse Code	Join Fields	Landuse Code	Landuse Type
1	2	Join Fields	0	Unclassified
2	0	+	1	shrub
3	1		2	water

INPUT

#### OUTPUT

OBJECT ID#	Landuse Code	Join Table Landuse Code	Join Table Landuse Type
1	2	2	water
2	0	0	Unclassified
3	1	1	shrub

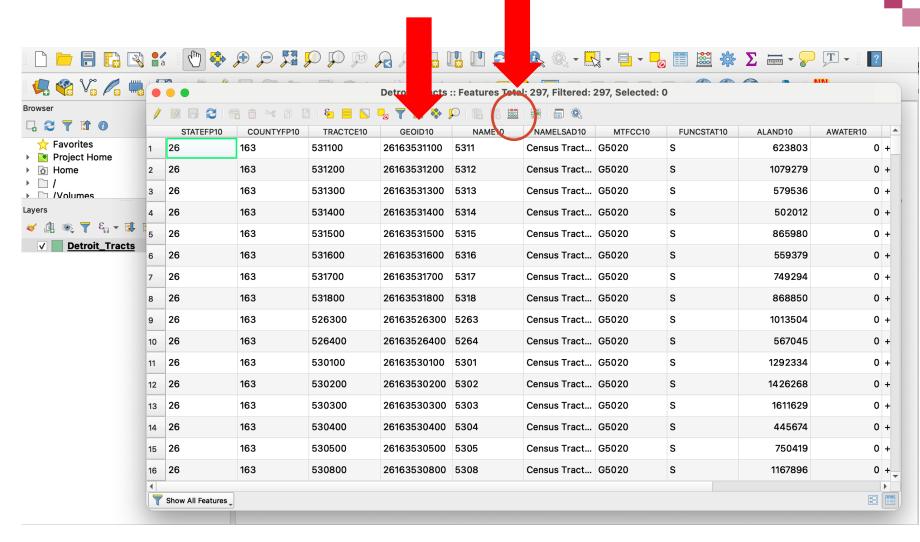
https://desktop.arcgis.com/en/arcmap/latest/tools/data-management-toolbox/add-join.htm



QGIS

GEOID = FIPS

Convert to string for easier joining later



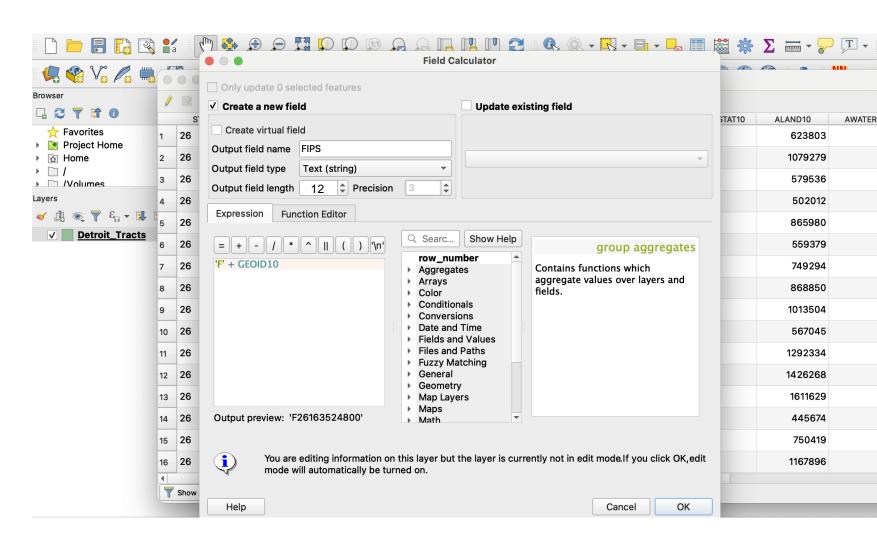




GEOID = FIPS

Convert to string for easier joining later

'F' + GEOID10



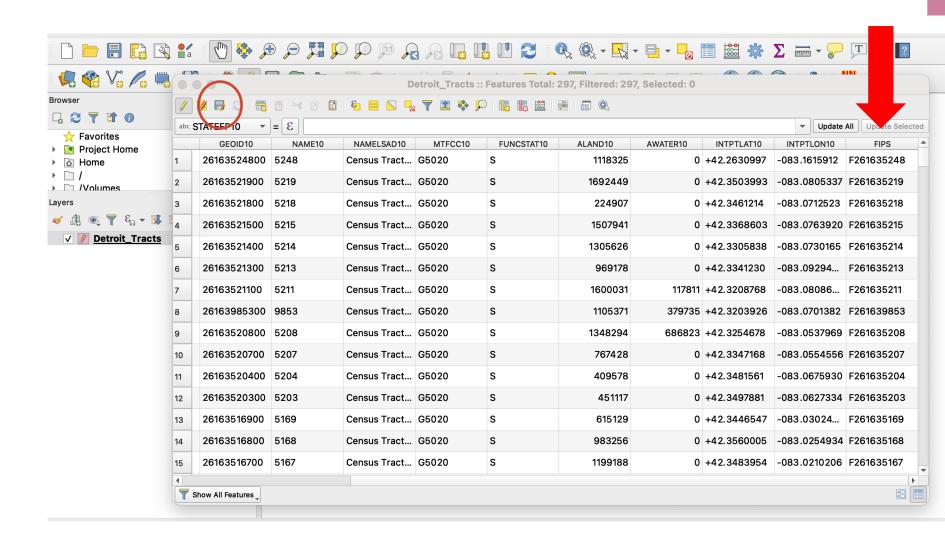




FIPS is now a string that will not drop leading zeroes.

Repeat in your additional data tables to ensure a clean join.

Don't forget to save your edits using the save button.





FIPS	HVI Indicator		



Use the concatenate function to place an "F" before your Geo\_FIPS code.

This forces the data into a string format to match the FIPS code in QGIS attribute table.

SUM $\Rightarrow$ $\times$ $\checkmark$ $f_x$ =CONCATENATE("F",A3)				
	А	В	С	
2	GEOID	Geo_FIPS_calc	Geo_FIPS	
3	26163985200	"F",A3)	F26163985200	
4	26163985300	F26163985300	F26163985300	
5	26163985400	F26163985400	F26163985400	
6	26163985500	F26163985500	F26163985500	
7	26163985600	F26163985600	F26163985600	
8	26163985700	F26163985700	F26163985700	
9	26163985900	F26163985900	F26163985900	



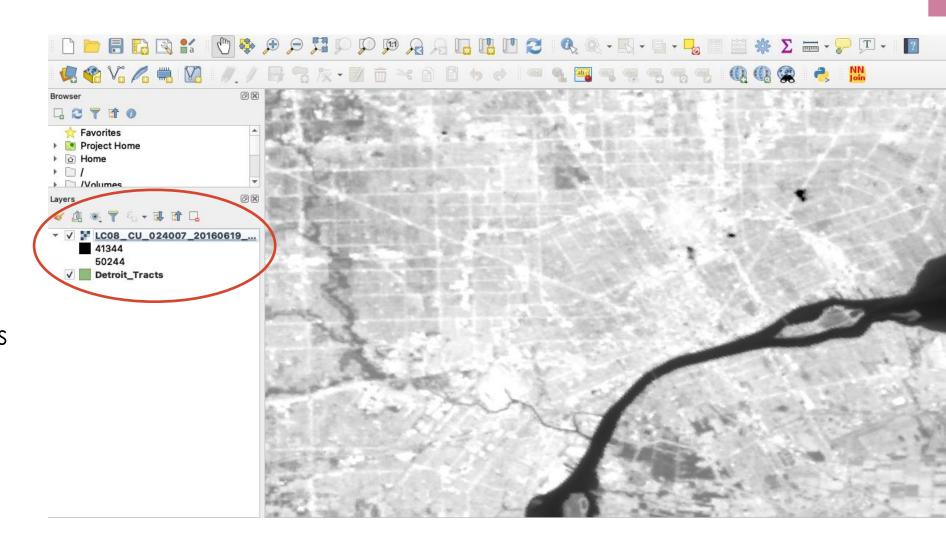


Copy your key field and paste as values to preserve your key (Column C)



Data such as Land Surface Temperature may not come in familiar units

Review all product documentation for scale factors and units before use.







Data such as Land Surface Temperature may not come in familiar units

Review all product documentation for scale factors and units before use.

Scale factors, fill values, data type, and valid range for Lansat Collection 1 and Collection 2 science products

Science Product	Scale Factor	Fill Value	Data Type	Valid Range
Collection 1				
Surface Reflectance	0.0001	-9999	Signed 16-bit integer	0-10000
Provisional Surface Temperature	0.1	-9999	Signed 16-bit integer	0-10000
Collection 2				
Surface Reflectance	0.0000275 + -0.2	0	Unsigned 16-bit integer	1-65455
Surface Temperature	0.00341802 + 149.0	О	Unsigned 16-bit integer	1-65455

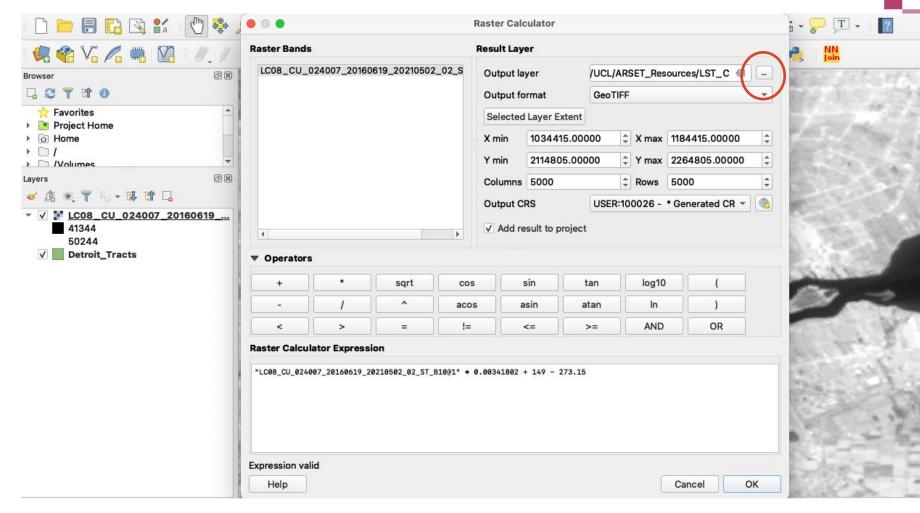
https://www.usgs.gov/faqs/how-do-i-use-scale-factor-landsat-level-2-science-products





Data such as Land Surface Temperature may not come in familiar units

Review all product documentation for scale factors and units before use.



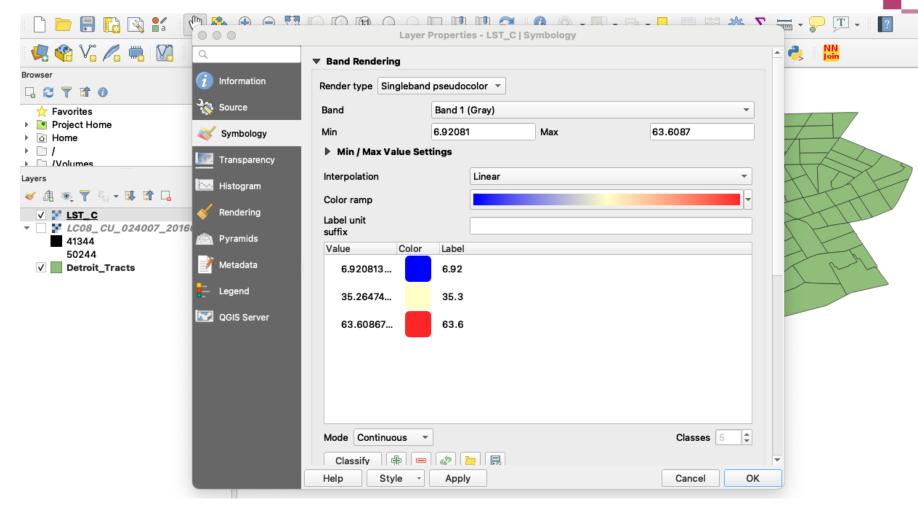






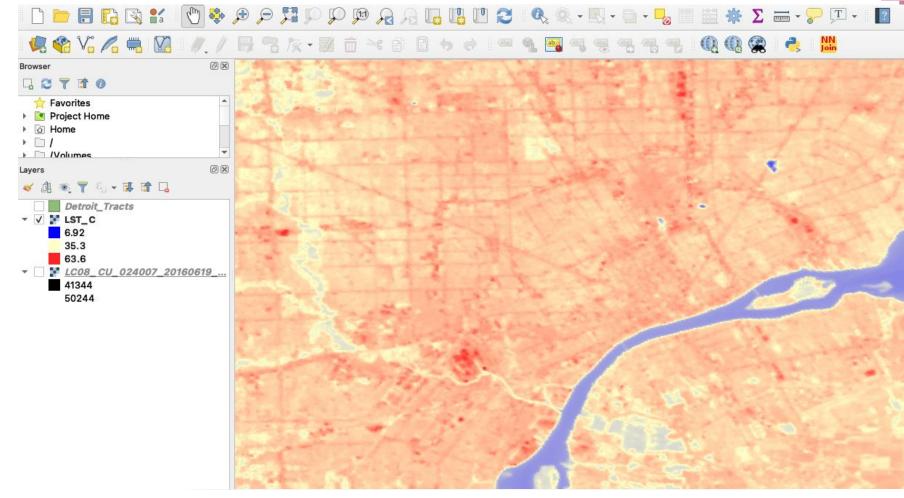


Change the symbology in the layer properties for a more familiar color scheme.





Change the symbology in the layer properties for a more familiar color scheme.



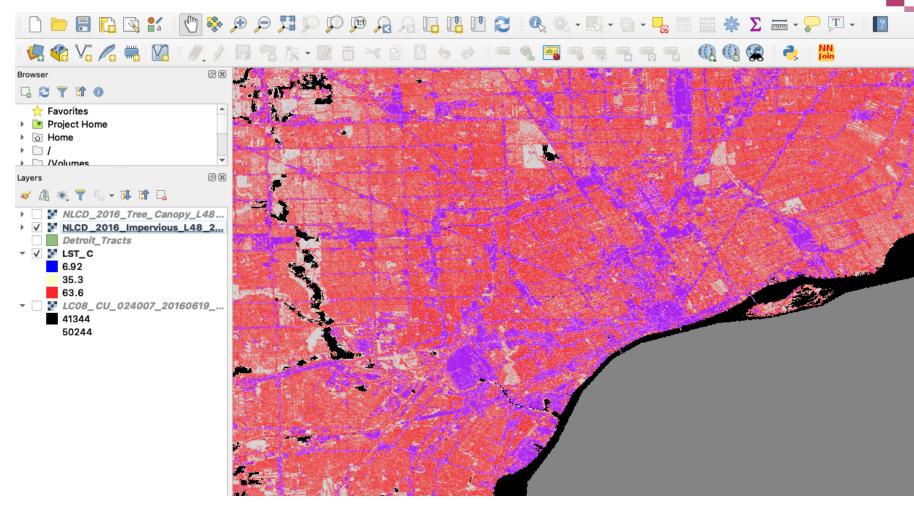




Repeat with alternative exposure rasters if available

#### Listed here:

- 1. Tree canopy
- 2. Impervious surface





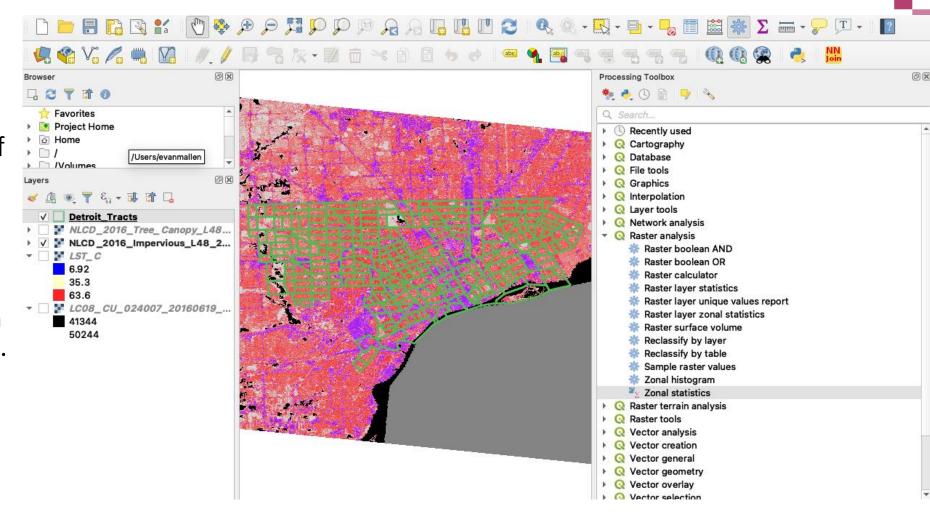
# Summarizing Exposure – Zonal Statistics



Zonal Statistics summarizes the values of the raster layer by each unit of geography in the shapefile, or "zone."

Here, we'll use the mean for each exposure raster.

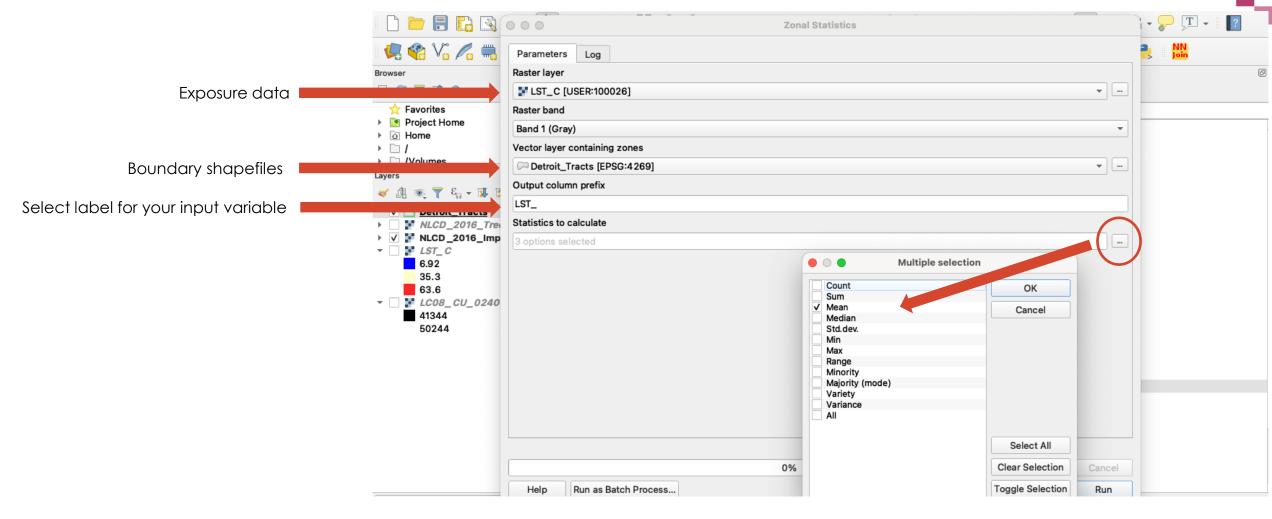
Access the **Zonal Statistics** tool using the **Processing Toolbox**.





#### Summarizing Exposure – Zonal Statistics





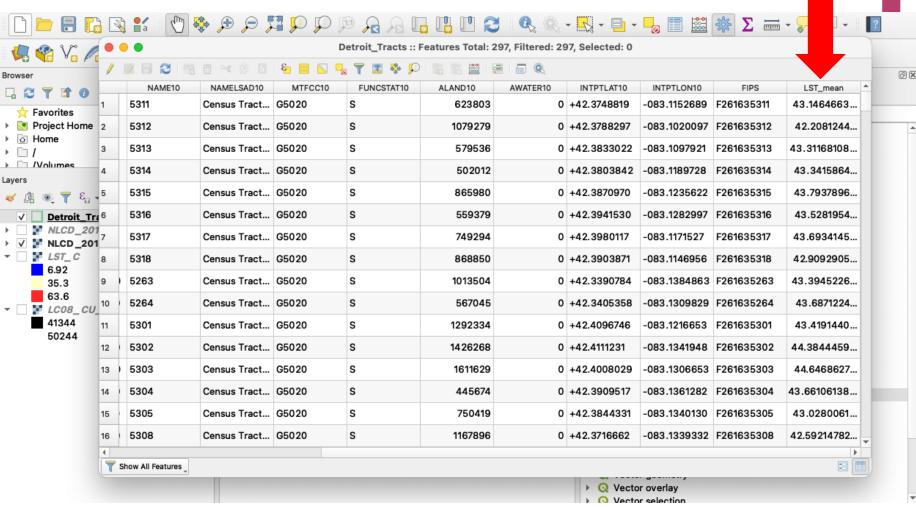


# Summarizing Exposure – Zonal Statistics

QGIS

LST\_mean is now placed directly into your input shapefile attribute table

Repeat with other input exposure variables as needed

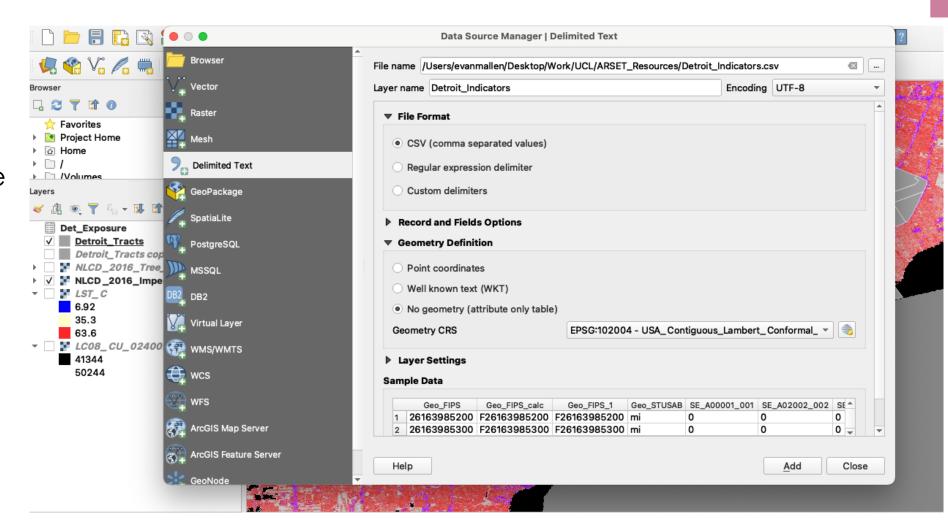




#### **Joining Your Data**



Save your additional data tables as CSV and load into QGIS using the "Delimited Text" option.



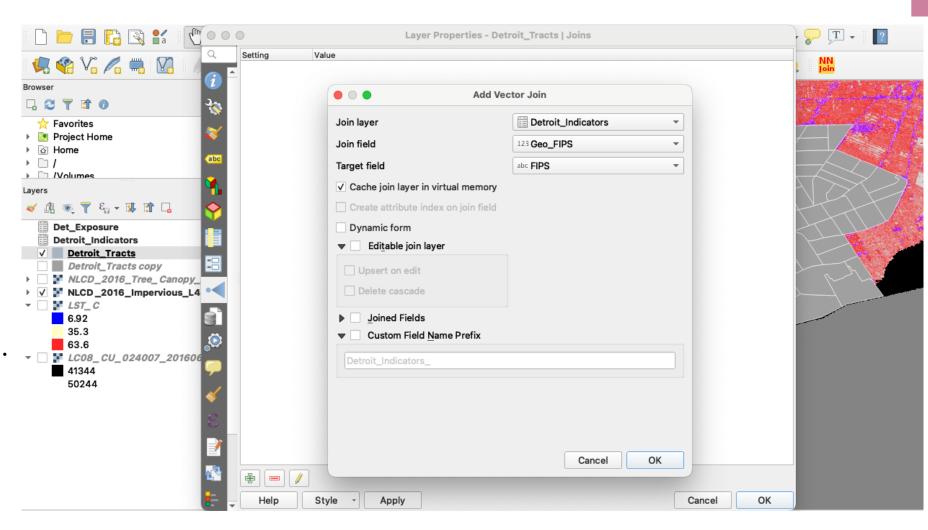


### **Joining Your Data**



Right-click your shapefile and select **Layer Properties**, then the **Join** tab.

Click the + button to add a new join to your shapefile's attribute table.





## **Joining Your Data**

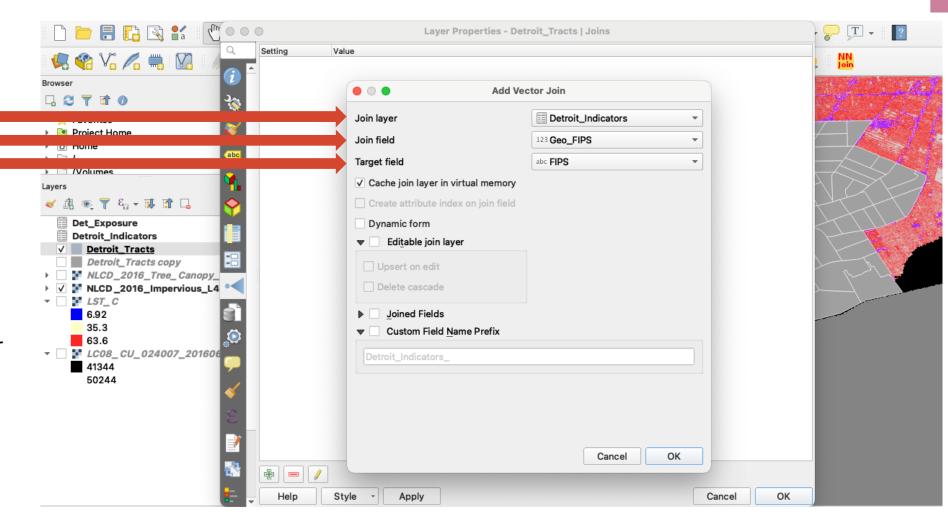


Table we will add to shapefile table

Key field in new table

Key field in shapefile table

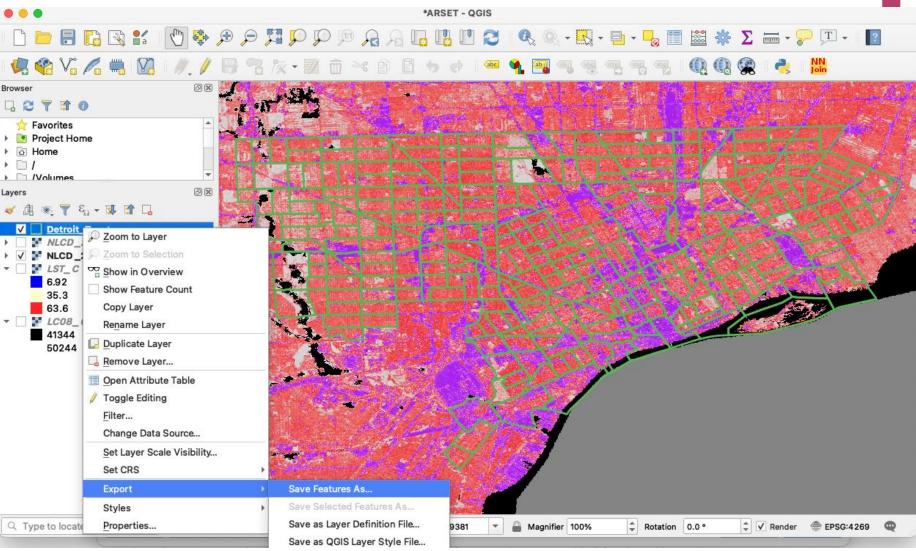
Repeat this process for any new data tables you'd like to add to your HVI dataset.



### **Exporting Joined Data Table**

QGIS

**Export** data as **Comma Separated Value (CSV)**to combine with other variables in spreadsheet editor.

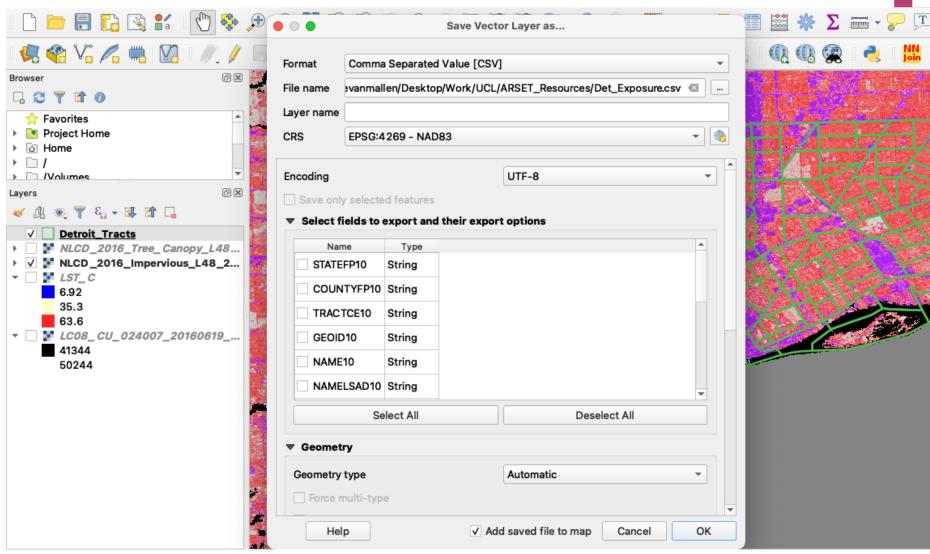




#### **Exporting Joined Data Table**



**Export** data as **Comma Separated Value (CSV)**to combine with other variables in spreadsheet editor.





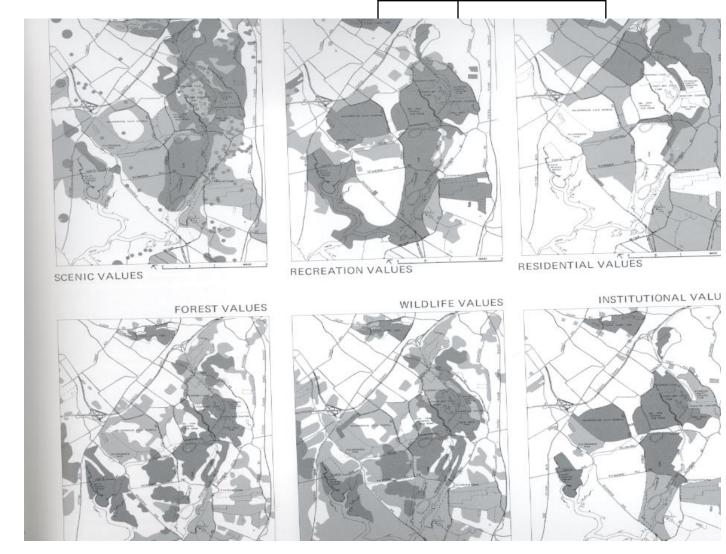


Constructing Your Heat Vulnerability Index

FIPS HVI Indicator

#### **HVI Construction Considerations**

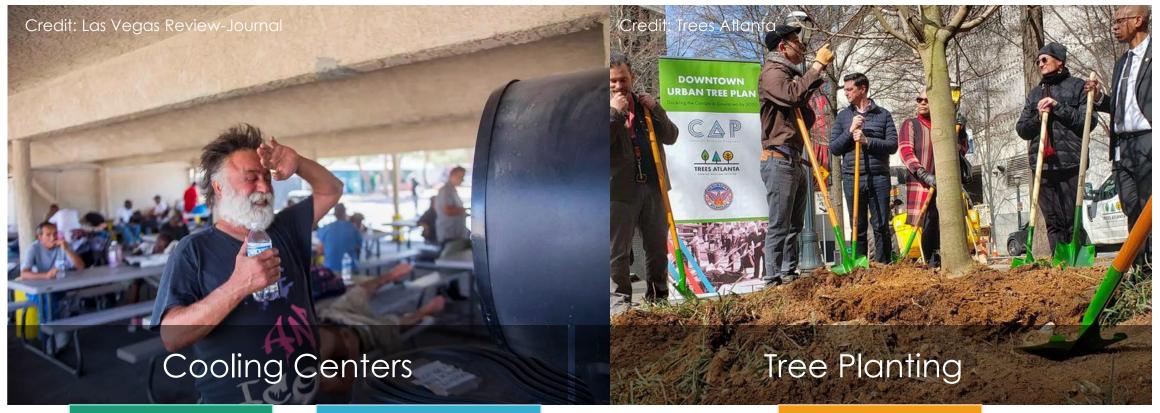
- What intervention do you want to implement?
- Which indicators will help locate high-priority areas for this intervention?
- Do you have (or need) a mix of vulnerability components?
- Are your indicators independent?
- Will you weight your indicators?







#### **HVIs and Heat Interventions**



Sensitivity

Adaptive Capacity

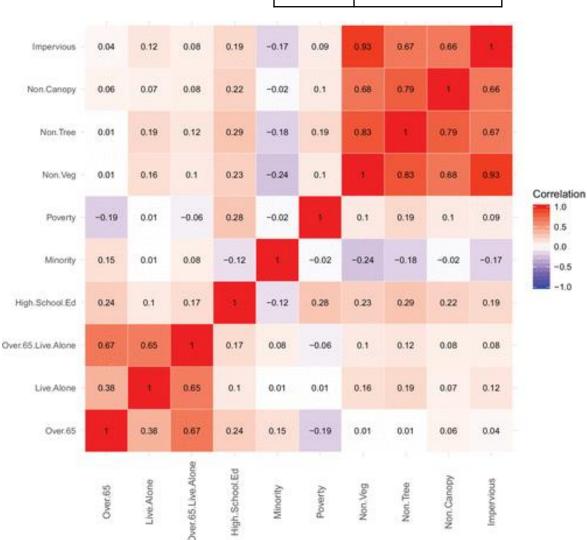
Exposure



## **Weighting Considerations**

**FIPS HVI Indicator** 

- Are your indicators independent?
- Correlation matrix
  - Highly correlated variables could be dropped

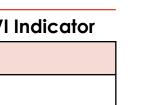


Conlon et al. (2020)



# **Cleaning the Dataset**

FIPS	HVI Indicator



Remove any rows with a total population of zero

- Cannot divide by zero in normalization steps
- Avoids #Div/0! errors



	С	D
2	Geo_FIPS	Total_Population
3	F26163985200	0
4	F26163985300	0
5	F26163985400	0
6	F26163985500	0
7	F26163985600	0
8	F26163985700	0
9	F26163985900	0
10	F26163990100	0
11	F26163990200	0
12	F26163985000	72
13	F26163985100	150
14	F26163518400	425
15	F26163545400	600
16	F26163518800	624



#### **Normalization**

FIPS	HVI Indicator



Identify your numerator and denominator

**Numerator**: Intervention

population

**Denominator**: Total eligible

population



Credit: Sales Layer





Eligible population is not always total population in the geography

#### **Normalization**

FIPS	HVI Indicator



Example:

Population over age 65

**Numerator**: Population cohorts with age > 65

**Denominator**: Total

Population

$\angle$	ВХ	BY	BZ
2	O65_pop	Total_Population	O65_p
3	226	3719	0.061
4	226	2985	0.076
5	133	2720	0.049
6	80	1306	0.061
7	171	1795	0.095
8	196	3366	0.058
9	219	4349	0.050
10	133	2795	0.048
11	225	4018	0.056





Eligible population is not always total population in the geography

#### **Normalization**

FIPS	HVI Indicator



Example:

Less than High School Education

**Numerator**: Population over age 25 who did not graduate high school

**Denominator**: Population over age 25

	CS	СТ	CU
2	LHS_pop	O25_pop	LHS_p
3	340	1879	0.181
4	243	1672	0.145
5	227	1353	0.168
6	196	725	0.270
7	281	1206	0.233
8	327	1858	0.176
9	391	2288	0.171
10	171	1659	0.103
11	442	2464	0.179





Eligible population is not always total population in the geography

# **Checking Unidirectionality**

FIPS	HVI Indicator



Ensure higher values means higher vulnerability for all indicators in your HVI.

#### Example:

Land surface temperature and impervious surfaces

	А	В	С
1	FIPS	LST_mean	Imp_mean
2	F261635248	43.71	59.67
3	F261635219	43.51	67.99
4	F261635218	44.97	69.93
5	F261635215	44.00	69.30
6	F261635214	45.76	82.10
7	F261635213	44.02	70.30
8	F261635211	43.11	73.39





Higher heat exposure



More vulnerable





# **Checking Unidirectionality**

FIPS	HVI Indicator



Ensure higher values means higher vulnerability for all indicators in your HVI.

Example:

Tree Canopy

Need to flip this indicator!

	А	D
1	FIPS	TreeCan_mean
2	F261635248	3.41
3	F261635219	3.28
4	F261635218	0.06
5	F261635215	1.71
6	F261635214	0.80
7	F261635213	2.06
8	F261635211	5.54







Lower heat exposure Less vulnerable





# **Checking Unidirectionality**

FIPS	HVI Indicator



Ensure higher values means higher vulnerability for all indicators in your HVI.

Use complement of indicator to flip orientation.

NoCan = 100 - TreeCan

SUM	SUM $f_x$ = 100 - D2						
	А	D	Е				
1	FIPS	TreeCan_mean	NoCan_mean				
2	F261635248	3.41	=100 - D2				
3	F261635219	3.28	96.72				
4	F261635218	0.06	99.94				
5	F261635215	1.71	98.29				
6	F261635214	0.80	99.20				
7	F261635213	2.06	97.94				
8	F261635211	5.54	94.46				





Higher heat exposure



More vulnerable





FIPS	HVI Indicator



# Z-score: Number of standard deviations from the mean

Z-score = 
$$\frac{[Observed value - mean_x]}{Std. deviation}$$

Range of Z-Score	HVI Component Score
-2 or lower	1
-2 to -1	2
-1 to 0	3
0 to 1	4
1 to 2	5
2 or higher	6

Reid et al. (2009)



FIPS	HVI Indicator

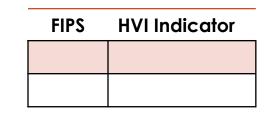


	BZ	CA	СВ	
2	O65_p	O65_z	O65_HVI	
3	0.061	-1.285	2	4
4	0.076	-1.008	2	
5	0.049	-1.505	2	
6	0.061	-1.276	2	
7	0.095	-0.645	3	4
8	0.058	-1.332	2	
9	0.050	-1.478	2	
10	0.048	-1.530	2	

Range of Z-Score	HVI Component Score
-2 or lower	1
-2 to -1	2
-1 to 0	3
0 to 1	4
1 to 2	5
2 or higher	6

Reid et al. (2009)





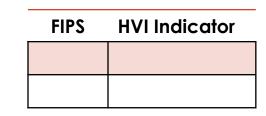


Repeat scoring scheme for each vulnerability indicator and combine.

Unweighted HVI is simply the sum of all individual HVI indicator scores.

SUM $\Rightarrow$ $\times$ $\checkmark$ $f_x$ =SUM(CB3:CF3)						
	СВ	CC	CD	CE	CF	CG
2	LST_HVI	Diabetes_H\	/I LHS_HVI	O65_HVI	Pov_HVI	UnWeight_HVI
3	1		2 (	5 2	3	=SUM(CB3:CF3)
4	4		2 3	3 2	4	15
5	4		2 4	1 2	2	14
6	3		5 5	5 2	2	17
7	2		4 2	2 3	2	13
8	6		3 :	L 2	6	18
9	4		2 3	3 2	4	15
10	2		3	3 2	3	13
Ex	Exposure Sensitivity Adaptive Capacity					







Weighted HVI will need to add weights to each indicator or collection of indicators.

Example: Each vulnerability component is equally weighted in this HVI.

SUM	SUM $f_x = CB3 + CC3 + (SUM(CD3:CF3)/3)$							
	СВ	СС	CD	CE	CF	СН		
2	LST_HVI	Diabetes_HVI	LHS_HVI	O65_HVI	Pov_HVI	Weight_HVI		
3	1	2	6	2	3	CF3)/3)		
4	4	2	3	2	4	9		
5	4	2	4	2	2	9		
6	3	5	5	2	2	11		
7	2	4	2	3	2	8		
8	6	3	1	2	6	12		
9	4	2	3	2	4	9		
10	2	3	3	2	3	8		
Exposure Sensitivity Adaptive Capacity								



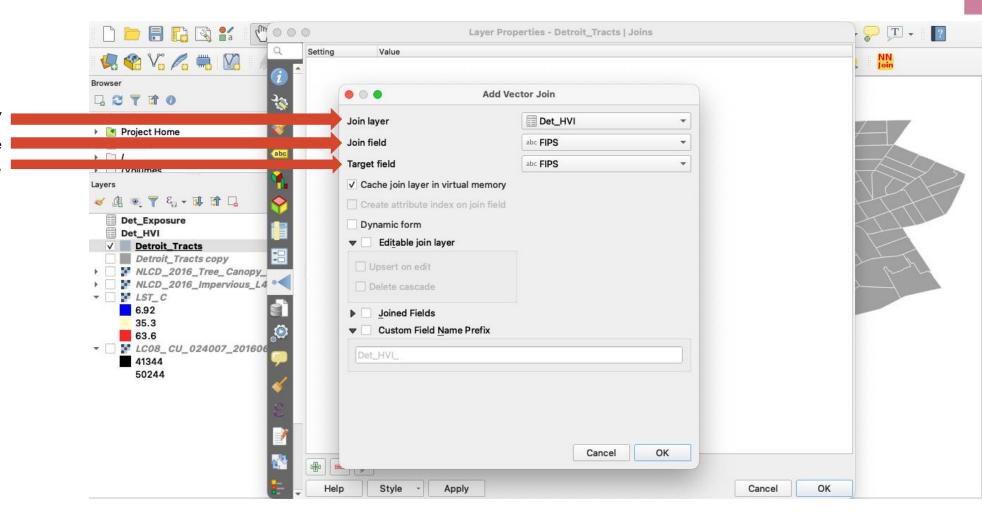


Mapping the Heat Vulnerability Index

## **Joining Your Data for Mapping**



Processed HVI table as CSV Key field in HVI table Key field in shapefile table



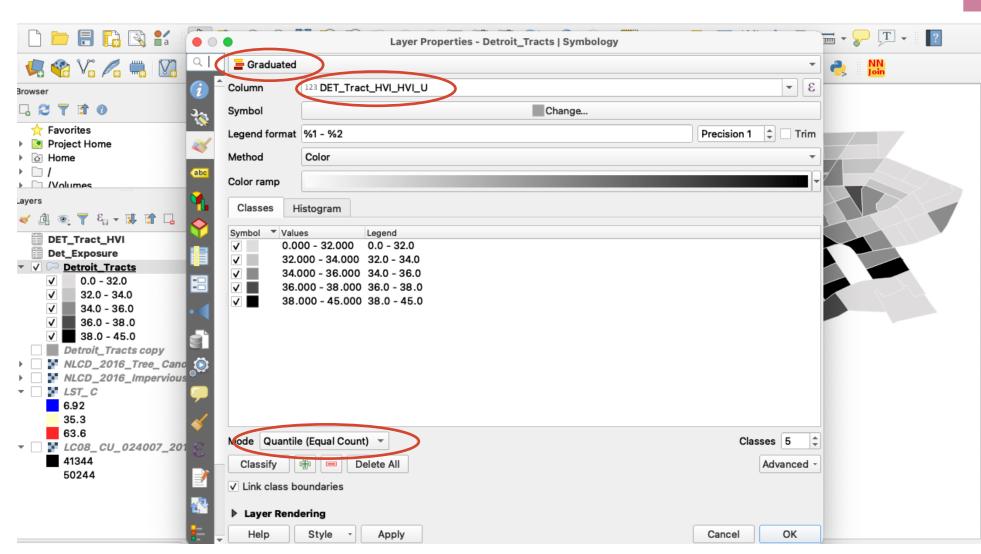


## **Joining Your Data for Mapping**



Design your map using the **Symbology** tab in **Layer Properties**.

We recommend "Quantile" mode to indicate areas of highest relative priority.

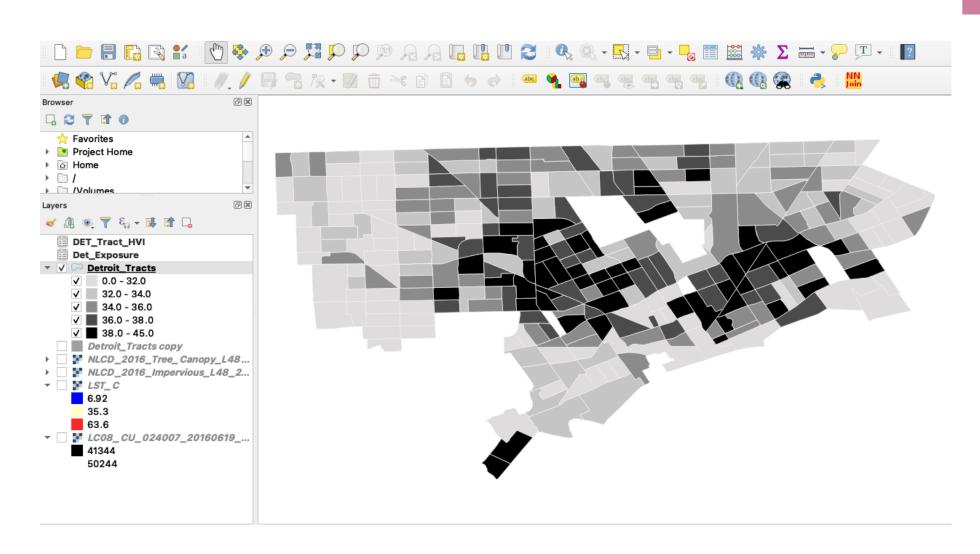


#### Your HVI Map



Your map is complete!

Now how will you use it?





Time to Practice!

#### **Homework Materials**



- Provided dataset of heat vulnerability characteristics for Detroit, MI USA
  - 1. Demographic data
  - 2. Land use data
  - 3. Temperature data
- 2. Slide deck with step-by-step guidance

Note: Dataset is organized such that variables confer vulnerability



#### **Homework Questions**



- 1. What is your intervention?
- 2. Which indicators will you use?
- 3. How will you weight your indicators?
- 4. Map of HVI
- Based on your HVI results, where will you deploy your intervention?



#### **Works Cited**

- m
- Conlon KC, Mallen E, Gronlund CJ, Berrocal VJ, Larsen L, O'Neill MS. Mapping human vulnerability to extreme heat: a critical assessment of heat vulnerability indices created using principal components analysis. Environmental Health Perspectives. 2020 Sep 2;128(9):097001.
- McHarg, I. L., & American Museum of Natural History. (1969). Design with nature. Garden City, N.Y: Published for the American Museum of Natural History [by] the Natural History Press.
- Reid, C., O'Neill, M., Gronlund, C., Brines, S., Brown, D., Diez-Roux, A., Schwartz, J. (2009). Mapping community determinants of heat vulnerability. Environmental Health Perspectives, 117(11), 1730–1736. <a href="http://doi.org/10.1289/ehp.0900683">http://doi.org/10.1289/ehp.0900683</a>



#### **Questions?**

- Please enter your questions in the Q&A box. We will answer them in the order they were received.
- We will post the Q&A to the training website following the conclusion of the webinar.



Credit: NASA



#### **Contacts**

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- Training Webpage:
  - https://appliedsciences.nasa.gov/joinmission/training/english/arset-satellite-remote-sensingmeasuring-urban-heat-islands-and



 https://appliedsciences.nasa.gov/what-we-do/capacitybuilding/arset







## **Thank You!**

